

PRIYA MANKANI

(PRACTICING COMPANY SECRETARY)

**Block-309, Flat 2A, Fort Residency
38 S.N. Roy Road,
New Alipore, Kolkata- 700 038
Ph - 7407223556**

TO

The Board of Directors

KAMINI FINANCE & INVESTMENT COMPANY LIMITED

4, SYNAGOGUE STREET,
ROOM NO: 405, 4TH FLOOR,
KOLKATA- 700001 WB IN

Company Registration No.2518

Authorised Capital : Rs.120100000

**SECRETARIAL AUDIT REPORT FOR THE QUARTER ENDED 31ST DECEMBER, 2018
(SEPARATE FOR EACH ISIN)**

1	For the quarter ended	31ST DECEMBER, 2018				
2	ISIN	INE927E01018				
3	Face Value	Rs.10/-				
4	Name of the Company	KAMINI FINANCE & INVESTMENT CO.LIMITED				
5	Registered office Address	Room No.5, 1st Floor H.M.Market,T.R.Phookan Road Guwahati-781 001, PH-2522603/2542072				
6	Correspondence Address/Head office	4, SYNAGOGUE STREET, ROOM NO: 405, 4TH FLOOR, KOLKATA- 700001 WB IN				
7	Telephone No.& Fax No.	Tel: 033 66133300/01, Fax:033 66133303				
8	Name of Stock Exchanges where the Company,s Securities are listed	Metropolitan Stock Exchange of India Ltd. (MSEI)				
9	Issued Capital	<table border="1"><thead><tr><th>Number of Shares</th><th>Face Value</th></tr></thead><tbody><tr><td>12001650</td><td>Rs.10/-</td></tr></tbody></table>	Number of Shares	Face Value	12001650	Rs.10/-
Number of Shares	Face Value					
12001650	Rs.10/-					
10	Listed Capital(Exchange wise) (As per Company Record)	<table border="1"><thead><tr><th>Number of Shares</th><th>% of total issued Capital</th></tr></thead><tbody><tr><td>12001650</td><td>100%</td></tr></tbody></table>	Number of Shares	% of total issued Capital	12001650	100%
Number of Shares	% of total issued Capital					
12001650	100%					
11	Shares held in Dematerialized form in NSDL	11115400				
12	Shares held in Dematerialized form in CDSL	785000				
13	Share held in Physical form	101250				
14	Total No. of Shares (11+12+13)	12001650				
15	Reason for difference,if any, between (9& 10),(9&14),(10&14)	Not Applicable				
16	Certifying the details of changes in Share Capital during the quarter under consideration as per Table.	Not Applicable				
17	Register of Members is updated (Yes/No)	Yes				
18	Reference of previous quarter with regards to excess dematerialized shares,if any	Nil				
19	Has the company resolved the matter mentioned in point no.18 above in the current quarter ? If not,reason why.	Not Applicable				

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|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 20 | Mention the total no.of dematerialisation request, if any,confirmed after 21 days and the total no.of requests pending beyond 21 days with reason for delay | Nil |
| 21 | Name,Address, Telephone & Fax No.of the Compliance officer of the Company | Ms. Nikita Parasrampuria
4, SYNAGOGUE STREET,
ROOM NO: 405, 4TH FLOOR,
KOLKATA- 700001 WB IN
Tel: 033 66133300/01, Fax:033 66133303 |
| 22 | Name,Address, Telephone & Fax No, Registration No.of the Auditor. | PRIYA MANKANI
Practicing Company Secretary
Block-309, Flat 2A, Fort Residency,
38 S.N. Roy Road, New Alipore, Kolkata- 700038
Ph - 7407223556 |
| 23 | Name, Address of Registrars and share Transfer Agent | M/s. NICHE TECHNOLOGIES PRIVATE LIMITED
D-511, Bagree Market, 5TH FLOOR
B.R.B. BASU ROAD
Kolkata-700 001 ,PH:-2235 7270/71/3070 |
| 24 | Any other data that the Auditor may like to provide (e.g. BFIR Company, Delisting From Stock Exchange,Company's Name Change) | Nil |

The above report is based on the information/ record and register made available to us were found to the best of our knowledge to be necessary for the purpose of the Audit.

PLACE:- KOLKATA
DATE:- 10.01.2019



Signature: *Priya Mankani*

Name-Priya Mankani
Certificate of Practice No-17947