

PRIYA MANKANI

(PRACTICING COMPANY SECRETARY)

Block-309, Flat 2A, Fort Residency
38 S.N. Roy Road,
New Alipore, Kolkata- 700 038
Ph - 7407223556

TO

The Board of Directors

KAMINI FINANCE & INVESTMENT COMPANY LIMITED

4, SYNAGOGUE STREET,
ROOM NO: 405, 4TH FLOOR,
KOLKATA- 700001 WB IN

Company Registration No 2518

Authorised Capital : Rs. 120100000

**SECRETARIAL AUDIT REPORT FOR THE QUARTER ENDED 30th SEPTEMBER, 2019
(SEPARATE FOR EACH ISIN)**

1	For the quarter ended	30TH SEPTEMBER, 2019				
2	ISIN	INE927E01018				
3	Face Value	Rs 10/-				
4	Name of the Company	KAMINI FINANCE & INVESTMENT CO.LIMITED				
5	Registered office Address	Room No 5, 1st Floor H M Market, T R Phookan Road Guwahati-781 001, PH-2522603/2542072				
6	Correspondence Address/Head office	4, SYNAGOGUE STREET, ROOM NO 405, 4TH FLOOR, KOLKATA- 700001 WB IN				
7	Telephone No & Fax No	Tel. 033 66133300/01, Fax 033 66133303				
8	Name of Stock Exchanges where the Company's Securities are listed	Metropolitan Stock Exchange of India Ltd. (MSEI)				
9	Issued Capital	<table border="1"><thead><tr><th>Number of Shares</th><th>Face Value</th></tr></thead><tbody><tr><td>12001650</td><td>Rs 10/-</td></tr></tbody></table>	Number of Shares	Face Value	12001650	Rs 10/-
Number of Shares	Face Value					
12001650	Rs 10/-					
10	Listed Capital(Exchange wise) (As per Company Record)	<table border="1"><thead><tr><th>Number of Shares</th><th>% of total issued Capital</th></tr></thead><tbody><tr><td>12001650</td><td>100%</td></tr></tbody></table>	Number of Shares	% of total issued Capital	12001650	100%
Number of Shares	% of total issued Capital					
12001650	100%					
11	Shares held in Dematerialized form in NSDL	11115400				
12	Shares held in Dematerialized form in CDSL	785000				
13	Share held in Physical form	101250				
14	Total No. of Shares (11+12+13)	12001650				
15	Reason for difference,if any between (9& 10),(9&14),(10&14)	Not Applicable				
16	Certifying the details of changes in Share Capital during the quarter under consideration as per Table	Not Applicable				
17	Register of Members is updated (Yes/No)	Yes				
18	Reference of previous quarter with regards to excess dematerialized shares,if any	Nil				
19	Has the company resolved the matter mentioned in point no 18 above in the current quarter ? If not,reason why	Not Applicable				
20	Mention the total no of dematerialisation request, if any confirmed after 21 days and the total no of requests pending beyond 21 days with reason for delay	Nil				

21	Name, Address, Telephone & Fax No of the Compliance officer of the Company	Ms. Nikita Parasrampuria 4, SYNAGOGUE STREET, ROOM NO: 405, 4TH FLOOR, KOLKATA- 700001 WB IN Tel. 033 66133300/01, Fax 033 66133303
22	Name, Address, Telephone & Fax No, Registration No of the Auditor.	PRIYA MANKANI Practicing Company Secretary Block-309, Flat 2A, Fort Residency, 38 S.N. Roy Road, New Alipore, Kolkata- 700038 Ph - 7407223556
23	Name, Address of Registrars and share Transfer Agent	M/s. NICHE TECHNOLOGIES PRIVATE LIMITED 7th Floor, Room No 7A & 7B, 3A, Auckland Road, Elgin, Kolkata-700017 PH -(033) 2280 6616 / 17 / 18 Fax (033) 2280 6619
24	Any other data that the Auditor may like to provide (e.g. BFIR Company, Delisting From Stock Exchange Company's Name Change)	Nil

The above report is based on the information/ record and register made available to us, were found to the best of our knowledge to be necessary for the purpose of the Audit

PLACE - KOLKATA
 DATE - 17.10.2019



Signature: *Priya Mankani*

Name-Priya Mankani
 Certificate of Practice No-17947
 UDIN: A034744A000106475