PRIYA MANKANI

(PRACTICING COMPANY SECRETARY)

Block-309, Flat 2A, Fort Residency 38 S.N. Roy Road, New Alipore, Kolkata- 700 038 Ph - 7407223556

TO
The Board of Directors

KAMINI FINANCE & INVESTMENT COMPANY LIMITED
4, SYNAGOGUE STREET,
ROOM NO: 405, 4TH FLOOR,
KOLKATA- 700001 WB IN

the total no.of requests pending beyond 21

Company Registration No.2518 Authorised Capital : Rs.120100000

SECRETARIAL AUDIT REPORT FOR THE QUARTER ENDED 31ST DECEMBER, 2022 (SEPARATE FOR EACH ISIN)

SIN Neger End of the Company Registered office Address Room No.5, 1st Floor H.M.Market,T.R.Phockan Road Guwahati-781 001, PH-2522603/2542072	1	For the quarter ended	31ST DECEMBER, 2022
Rs.10/- Registered office Address Room No.5, 1st Floor H.M.Market,T.R.Phookan Road Guwahati-781 001, PH-2522603/2542072	2	ISIN	INF927F01018
Registered office Address Registered office Address Registered office Address Room No.5, 1st Floor H.M.Market,T.R.Phookan Road Guwahati-781 001, PH-2522603/2542072 6 Correspondence Address/Head office 7 Telephone No.& Fax No. Room No. 405, 4Th FLOOR, KOLKATA-700001 WB IN Tel: 033 66133300/01, Fax:033 66133303 8 Name of Stock Exchanges where the Company,s Securities are listed 9 Issued Capital Number of Shares Face Value 12001650 Rs.10/- 10 Listed Capital(Exchange wise) (As per Company Record) Number of Shares % of total issued Capital 12001650 Rs.10/- 11 Shares held in Dematerialized form in NSDL 11115400 12 Shares held in Dematerialized form in CDSL 785000 13 Share held in Physical form 101250 14 Total No. of Shares (11+12+13) 15 Reason for difference, if any, between (98 10),(98 14),(108 14) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares, if any, 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	_		142027201010
Room No.5, 1st Floor H.M.Market, T.R.Phookan Road Guwahatt-781 001, PH-2522603/2542072 6 Correspondence Address/Head office 7 Telephone No.& Fax No. 8 Name of Stock Exchanges where the Company,s Securities are listed 9 Issued Capital 10 Listed Capital (Exchange wise) (As per Company Record) 11 Shares held in Dematerialized form in NSDL 11 Shares held in Dematerialized form in CDSL 12 Shares held in Physical form 14 Total No. of Shares (11+12+13) 15 Reason for difference, if any, between (98 10),(9814),(10814) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares, if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	3	Face Value	Rs.10/-
Room No.5, 1st Floor H.M.Market, T.R.Phookan Road Guwahatt-781 001, PH-2522603/2542072 6 Correspondence Address/Head office 7 Telephone No.& Fax No. 8 Name of Stock Exchanges where the Company,s Securities are listed 9 Issued Capital 10 Listed Capital (Exchange wise) (As per Company Record) 11 Shares held in Dematerialized form in NSDL 11 Shares held in Dematerialized form in CDSL 12 Shares held in Physical form 14 Total No. of Shares (11+12+13) 15 Reason for difference, if any, between (98 10),(9814),(10814) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares, if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	4	Name of the Company	KAMINI FINANCE & INIVECTMENT CO LIMITED
H.M.Market,T.R. Phookan Road Guwahati-781 001, PH-2522603/2542072 6 Correspondence Address/Head office 4, SYNAGOGUE STREET, ROOM NO: 405, 4TH FLOOR, KOLKATA-700001 WB IN Tel: 033 66133300/01, Fax:033 66133303 8 Name of Stock Exchanges where the Company,s Securities are listed 9 Issued Capital Number of Shares Face Value 12001650 Rs.10/- 10 Listed Capital(Exchange wise) (As per Company Record) 11 Shares held in Dematerialized form in NSDL 11115400 12 Shares held in Dematerialized form in CDSL 3 Share held in Physical form 101250 14 Total No. of Shares (11+12+13) 15 Reason for difference, if any, between (9& 10),(9&14),(10&14) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares; if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	4	Name of the Company	KAMINI FINANCE & INVESTMENT CO.LIMITED
ROOM NO: 405, 4TH FLOOR, KOLKATA- 700001 WB IN Tel: 033 66133300/01, Fax: 033 66133303 8 Name of Stock Exchanges where the Company,s Securities are listed 9 Issued Capital 10 Listed Capital(Exchange wise) (As per Company Record) 11 Shares held in Dematerialized form in NSDL 12 Shares held in Dematerialized form in CDSL 13 Share held in Physical form 14 Total No. of Shares (11+12+13) 15 Reason for difference,if any, between (9& 10),(9&14),(10&14) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares, if any 19 Has the company resolved the matter mentioned in point no. 18 above in the current quarter? If not, reason why.	5	Registered office Address	H.M.Market,T.R.Phookan Road
8 Name of Stock Exchanges where the Company,s Securities are listed 9 Issued Capital 10 Listed Capital (Exchange wise) (As per Company Record) 11 Shares held in Dematerialized form in NSDL 12 Shares held in Dematerialized form in CDSL 13 Share held in Physical form 14 Total No. of Shares (11+12+13) 15 Reason for difference, if any, between (9& 10), (9&14), (10&14) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares, if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not, reason why.	6	Correspondence Address/Head office	ROOM NO: 405, 4TH FLOOR,
Company,s Securities are listed 9 Issued Capital Number of Shares Face Value 12001650 Rs.10/-	7	Telephone No.& Fax No.	Tel: 033 66133300/01, Fax:033 66133303
12001650 Rs.10/- 10 Listed Capital (Exchange wise) (As per Company Record) 11 Shares held in Dematerialized form in NSDL 12 Shares held in Dematerialized form in CDSL 13 Share held in Physical form 14 Total No. of Shares (11+12+13) 15 Reason for difference, if any, between (9& 10),(9&14),(10&14) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares, if any 19 Has the company resolved the matter mentioned in point no. 18 above in the current quarter? If not, reason why.	8	<u> </u>	Metropolitan Stock Exchange of India Ltd. (MSEI)
(As per Company Record) 12001650 100% 11 Shares held in Dematerialized form in NSDL 12 Shares held in Dematerialized form in CDSL 13 Share held in Physical form 101250 14 Total No. of Shares (11+12+13) 15 Reason for difference, if any, between (9& 10),(9&14),(10&14) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares, if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not, reason why.	9	Issued Capital	
Shares held in Dematerialized form in CDSL T85000 Share held in Physical form 101250 Total No. of Shares (11+12+13) Reason for difference,if any, between (9& 10),(9&14),(10&14) Certifying the details of changes in Share Capital during the quarter under consideration as per Table. Register of Members is updated (Yes/No) Reference of previous quarter with regards to excess dematerilized shares,if any Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	10		
13 Share held in Physical form 101250 14 Total No. of Shares (11+12+13) 15 Reason for difference,if any, between (9& 10),(9&14),(10&14) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares,if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	11	Shares held in Dematerialized form in NSDL	11115400
Total No. of Shares (11+12+13) Reason for difference, if any, between (9& 10), (9&14), (10&14) Certifying the details of changes in Share Capital during the quarter under consideration as per Table. Register of Members is updated (Yes/No) Reference of previous quarter with regards to excess dematerilized shares, if any Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not, reason why.	12	Shares held in Dematerialized form in CDSL	785000
Reason for difference,if any, between (9& 10),(9&14),(10&14) Certifying the details of changes in Share Capital during the quarter under consideration as per Table. Register of Members is updated (Yes/No) Reference of previous quarter with regards to excess dematerilized shares,if any Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	13	Share held in Physical form	101250
(9& 10),(9&14),(10&14) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares,if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	14	Total No. of Shares (11+12+13)	12001650
Certifying the details of changes in Share Capital during the quarter under consideration as per Table. Register of Members is updated (Yes/No) Reference of previous quarter with regards to excess dematerilized shares,if any Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	15	the state of the s	Not Applicable
Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares,if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.			
18 Reference of previous quarter with regards to excess dematerilized shares,if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	16	Capital during the quarter under	Not Applicable
to excess dematerilized shares,if any 19 Has the company resolved the matter mentioned in point no.18 above in the current quarter? If not,reason why.	17	Register of Members is updated (Yes/No)	Yes
mentioned in point no 18 above in the current quarter ? If not,reason why.	18		Nil
mentioned in point no 18 above in the current quarter ? If not,reason why.	19	Has the company resolved the matter	Not Applicable
20 Mention the total no of dematerilisation Nil	10	mentioned in point no.18 above in the	[14017] ppilodalio
request, if any,confirmed after 21 days and	20	Mention the total no.of dematerilisation	Nil

21 Name, Address, Telephone & Fax No. of the Compliance officer of the Company Ms. Nikita Sureka Roy 4. SYNAGOGUE STREET ROOM NO. 405, 41H FLOOR KOLKATA-700001 VIB IN Tel. 033 6613330001, Fax 033 66133303

22 Name Address, Telephone & Fax No. Registration No.of the Auditor. PRIYA MANKANI
Practicing Company Secretary
Block-309, Flat 2A: Fort Residency
38'S N: Roy Road: New Alipore: Kelkata-700036
Ph. 7407223556

23 Name, Address of Registrars and share Transfer Agent Mrs. NICHE TECHNOLOGIES PRIVATE LIMITED 7th Floor, Room No 7A & 7B 3A, Auckland Road, Elgin, Kolkma-700017 PH (033) 2280 6616 / 17 / 18 Firs. (033) 2280 6619

Kriya Mamkani

24 Any other data that the Auditor may like to provide (e.g. Nil BFIR Company, Delisting From Stock Exchange, Company's Name Change)

The above report is based on the information/ record and register made available to an every found to the best of our knowledge to be necessary for the purpose of the Audit

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Signature:

Name-Priya Mankani Certificate of Practice No-17947 UDIN A034744D052943166

PLACE - KOLKATA DATE - 11.01.2023