

**PRIYA MANKANI**

**(PRACTICING COMPANY SECRETARY)**

**Block-309, Flat 2A, Fort Residency  
38 S.N. Roy Road,  
New Alipore, Kolkata- 700 038  
Ph - 7407223556**

TO

The Board of Directors

**KAMINI FINANCE & INVESTMENT COMPANY LIMITED**

4, SYNAGOGUE STREET,  
ROOM NO: 405, 4TH FLOOR,  
KOLKATA- 700001 WB IN

Company Registration No.2518

Authorised Capital : Rs.120100000

**SECRETARIAL AUDIT REPORT FOR THE QUARTER ENDED 31ST DECEMBER, 2022  
(SEPARATE FOR EACH ISIN)**

| 1                | For the quarter ended  | 31ST DECEMBER, 2022   |                  |                           |          |         |
|------------------|--|---|------------------|---------------------------|----------|---------|
| 2                | ISIN   | INE927E01018  |                  |                           |          |         |
| 3                | Face Value   | Rs.10/-   |                  |                           |          |         |
| 4                | Name of the Company  | <b>KAMINI FINANCE &amp; INVESTMENT CO.LIMITED</b>   |                  |                           |          |         |
| 5                | Registered office Address  | Room No.5, 1st Floor<br>H.M.Market,T.R.Phookan Road<br>Guwahati-781 001, PH-2522603/2542072   |                  |                           |          |         |
| 6                | Correspondence Address/Head office   | 4, SYNAGOGUE STREET,<br>ROOM NO: 405, 4TH FLOOR,<br>KOLKATA- 700001 WB IN   |                  |                           |          |         |
| 7                | Telephone No.& Fax No.   | Tel: 033 66133300/01, Fax:033 66133303  |                  |                           |          |         |
| 8                | Name of Stock Exchanges where the Company,s Securities are listed  | Metropolitan Stock Exchange of India Ltd. (MSEI)  |                  |                           |          |         |
| 9                | Issued Capital   | <table border="1"><thead><tr><th>Number of Shares</th><th>Face Value</th></tr></thead><tbody><tr><td>12001650</td><td>Rs.10/-</td></tr></tbody></table>             | Number of Shares | Face Value                | 12001650 | Rs.10/- |
| Number of Shares | Face Value   |   |                  |                           |          |         |
| 12001650         | Rs.10/-  |   |                  |                           |          |         |
| 10               | Listed Capital( Exchange wise)<br>(As per Company Record)  | <table border="1"><thead><tr><th>Number of Shares</th><th>% of total issued Capital</th></tr></thead><tbody><tr><td>12001650</td><td>100%</td></tr></tbody></table> | Number of Shares | % of total issued Capital | 12001650 | 100%    |
| Number of Shares | % of total issued Capital  |   |                  |                           |          |         |
| 12001650         | 100%   |   |                  |                           |          |         |
| 11               | Shares held in Dematerialized form in NSDL   | 11115400  |                  |                           |          |         |
| 12               | Shares held in Dematerialized form in CDSL   | 785000  |                  |                           |          |         |
| 13               | Share held in Physical form  | 101250  |                  |                           |          |         |
| 14               | Total No. of Shares (11+12+13)   | 12001650  |                  |                           |          |         |
| 15               | Reason for difference,if any, between<br>(9& 10),(9&14),(10&14)  | Not Applicable  |                  |                           |          |         |
| 16               | Certifying the details of changes in Share<br>Capital during the quarter under<br>consideration as per Table.                          | Not Applicable  |                  |                           |          |         |
| 17               | Register of Members is updated (Yes/No)  | Yes   |                  |                           |          |         |
| 18               | Reference of previous quarter with regards<br>to excess dematerialized shares,if any   | Nil   |                  |                           |          |         |
| 19               | Has the company resolved the matter<br>mentioned in point no.18 above in the<br>current quarter ? If not,reason why.                   | Not Applicable  |                  |                           |          |         |
| 20               | Mention the total no.of dematerialisation<br>request, if any,confirmed after 21 days and<br>the total no.of requests pending beyond 21 | Nil   |                  |                           |          |         |

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| 21 Name,Address, Telephone & Fax No. of the Compliance officer of the Company  | <b>Ms. Nikita Sureka Roy</b><br>4, SYNAGOGUE STREET<br>ROOM NO. 405, 4TH FLOOR<br>KOLKATA- 700001 W.B IN<br>Tel: 033 66133300/01, Fax 033 66133303                             |
| 22 Name,Address, Telephone & Fax No. Registration No. of the Auditor.  | <b>PRIYA MANKANI</b><br>Practising Company Secretary<br>Block-309, Flat 2A, Fort Residency<br>38 S N Roy Road, New Alipore, Kolkata- 700038<br>Ph - 740/223556                 |
| 23 Name, Address of Registrars and share Transfer Agent  | <b>Ms. NICHE TECHNOLOGIES PRIVATE LIMITED</b><br>7th Floor, Room No. 7A & 7B<br>3A, Auckland Road, Ugin, Kolkata-700017<br>PH -(033) 2280 6016 / 17 / 18, Fax -(033) 2280 6019 |
| 24 Any other data that the Auditor may like to provide (e.g. BFIR Company, Delisting From Stock Exchange, Company's Name Change) | Nil  |

The above report is based on the information/ record and register made available to us. were found to the best of our knowledge to be necessary for the purpose of the Audit.



PLACE- KOLKATA  
DATE- 11.01.2023

Signature: *Priya Mankani*  
 Name- Priya Mankani  
 Certificate of Practice No-17947  
 UDIN: A034744D052943166