PRIYA MANKANI

(PRACTICING COMPANY SECRETARY)

Block-309, Flat 2A, Fort Residency 38 S.N. Roy Road, New Alipore, Kolkata- 700 038 Ph - 7407223556

TO
The Board of Directors
KAMINI FINANCE & INVESTMENT COMPANY LIMITED
4, SYNAGOGUE STREET,
ROOM NO: 405, 4TH FLOOR,
KOLKATA- 700001 WB IN

Company Registration No.2518 Authorised Capital : Rs.120100000

SECRETARIAL AUDIT REPORT FOR THE QUARTER ENDED 30TH SEPTEMBER, 2022 (SEPARATE FOR EACH ISIN)

6 Correspondence Address/Head office 4, SYNAGOGUE STREET, ROOM NO: 405, 4TH FLOOR, KOLKATA- 700001 WB IN Tel: 033 66133300/01, Fax:033 66133303 8 Name of Stock Exchanges where the Company,s Securities are listed 9 Issued Capital Number of Shares Face Value 12001650 Rs.10/-	F	For the quarter ended	30TH SEPTEMBER, 2022
Rs.10/- Rs.10/- Registered office Address Registered office Address Registered office Address Room No.5, 1st Floor H.M.Market, T.R.Phookan Road Guwahati-781 001, PH-2522603/2542072 Correspondence Address/Head office A, SYNAGOGUE STREET, ROOM No. 405, 4TH FLOOR, KOLKATA-700001 WB IN Tel: 033 66133300/01, Fax:033 66133303 Name of Stock Exchanges where the Company,s Securities are listed Issued Capital Number of Shares Face Value 12001650 Rs.10/- Number of Shares Face Value 12001650 Rs.10/- Number of Shares Face Value 12001650 Number of Shares Face Value 12001650 Total in Dematerialized form in NSDL Total No. of Shares (11+12+13) Total No. of Shares (11+12+13) Reason for difference, if any, between (9& 10),(9&14),(10&14) Certifying the details of changes in Share Capital during the quarter under consideration as per Table. Reference of previous quarter with regards to excess dematerilized shares, if any Has the company resolved the matter mentioned in point no.18 above in the	: I	ISIN	INE927E01018
4 Name of the Company Registered office Address Registered office Address Registered office Address Registered office Address Room No.5, 1st Floor H.M.Market, T. R. Phookan Road Guwahati-781 001, PH-2522603/2542072 4, SYNAGOGUE STREET, ROOM NO: 405, 4TH FLOOR, KOLKATA-700001 WB IN Tel: 033 66133300/01, Fax:033 66133303 8 Name of Stock Exchanges where the Company,s Securities are listed 9 Issued Capital Number of Shares Face Value 12001650 Rs.10/- 10 Listed Capital(Exchange wise) (As per Company Record) 11 Shares held in Dematerialized form in NSDL 12 Shares held in Dematerialized form in CDSL Shares held in Physical form 10 Total No. of Shares (11+12+13) 15 Reason for difference, if any, between (9& 10),(9&14),(10&14) 16 Certifying the details of changes in Share Capital during the quarter under consideration as per Table. 17 Register of Members is updated (Yes/No) 18 Reference of previous quarter with regards to excess dematerilized shares, if any 19 Has the company resolved the matter mentioned in point no.18 above in the			
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mentioned in point no.18 above in the			Nil
	r	mentioned in point no.18 above in the	Not Applicable
20 Mention the total no.of dematerilisation request, if any,confirmed after 21 days and the total no.of requests pending beyond 21 days with reason for delay	r t	request, if any,confirmed after 21 days and the total no.of requests pending beyond 21	Nil

21 Name, Address, Telephone & Fax No. of the Compliance officer of the Company

Ms. Nikita Sureka

4, SYNAGOGUE STREET, ROOM NO: 405, 4TH FLOOR, KOLKATA- 700001 WB IN

Tel: 033 66133300/01, Fax:033 66133303

22 Name, Address, Telephone & Fax No, Registration No.of the Auditor.

PRIYA MANKANI

Practicing Company Secretary Block-309, Flat 2A, Fort Residency, 38 S.N. Roy Road, New Alipore, Kolkata- 700038

Ph - 7407223556

23 Name, Address of Registrars and share Transfer Agent

M/s. NICHE TECHNOLOGIES PRIVATE LIMITED

7th Floor, Room No 7A & 7B,

3A, Auckland Road, Elgin, Kolkata-700017 PH:-(033) 2280 6616 / 17 / 18: Fax : (033) 2280 6619

24 Any other data that the Auditor may like to provide (e.g. BFIR Company, Delisting From Stock Exchange, Company's Name Change)

Nil

The above report is based on the information/ record and register made available to us were found to the best of our knowledge to be necessary for the purpose of the Audit.



Signature:

Name-Priya Mankani Certificate of Practice No-17947 UDIN: A034744D001371002

PLACE:- KOLKATA DATE:- 28.10.2022