

## ARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

ame of Listed Entity: Kamini Finance and Investment Company Limited  
arter ending: 30th September, 2018.

## I. Composition of Board of Directors

(Mr. /	Name of the Director	PAN & DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Date of Appointment in the current term /cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
	CHAMPA LAL PAREEK	AEKPP9135M & 00030815	Non-Executive, Independent Director	29.09.2015	Till 2020	7	10	5
	TARAK NATH DEY	AIVPD7009D & 00343396	Non-Executive, Independent Director	29.09.2015	Till 2020	7	10	5
	CHAND RATAN MODI	ADMPM4506E & 00343685	Promoter & Managing Director	22.08.1999	-	6	6	3
	AVI LUNIA	AXXPL9489M & 07687360	Non-Executive, Independent Director	05.02.2018	-	5	10	1
	TRIPTY MODI	BFCPM8562H & 07203672	Non-Executive, Non-Independent Director	24.09.2016	-	5	2	0
	CHANCHAL RUNGTA	BFMPM5176B & 07590027	Non-Executive, Non-Independent Director	24.09.2016	-	6	0	0

number of any director would not be displayed on the website of Stock Exchange

category of directors means executive/non-executive/independent/nominee. if a director fits into more than one category write all categories separating them with hyphen

be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity

continuity without any cooling off period.

II. Composition of Committees	
Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) \$
Audit Committee	Chairperson, Non Executive, Independent Director
Champa Lal Pareek	Non Executive, Independent Director
Tarak Nath Dey	Non-Executive, Independent Director
Avi Lunia	Managing Director
Chand Ratan Modi	Non Executive, Independent Director
Champa Lal Pareek	Non-Executive, Independent Director
Avi Lunia	N/A
Stakeholders Relationship Committee'	Non Executive, Independent Director
Champa Lal Pareek	Non-Executive, Independent Director
Avi Lunia	N/A

Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category, all categories separating them with hyphen

### III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
29/05/2018	02/08/2018	64

### IV. Meeting of Committees

Date(s) of meeting of the committee in the quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of
02/08/2018	Yes	29/05/2018	64

is information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

### V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, words "N.A." may be indicated. status is "No" details of non-compliance may be given here.

**Affirmations**

Subject	Compliance Status (Yes/No)
The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015	Yes
Audit Committee	Yes
Nomination & remuneration committee	Yes
Shareholders relationship committee	Yes
The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
The report and/or the report submitted in the previous quarter has been placed before the Board of Directors. Any comments/observations/advice of Board of Directors to be mentioned here: The Report for the quarter Ending 30th June, 2018 was placed in the Board Meeting held on 2nd August, 2018. However no comments /observation advice was given by the board. This report for the quarter ended 30th September, 2018 will be placed at the ensuing meeting of the Board of Directors.	

Kamini Finance & Investment Co. Ltd.



Signature:

Name : Chand Ratan Modi

Designation: Managing Director

**ANNEXURE III**

**Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year**

**I. Affirmations**

<b>Broad heading</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA)refer</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

For, Kamini Finance and Investment Company Limited



Signature:

Name : Chand Ratan Modi

Designation: Managing Director