

(I)(a) Statement showing Shareholding Pattern

Name of the Company: KAMINI FINANCE & INVESTMENT COMPANY LIMITED

Quarter Ended : 30TH SEPTEMBER, 2013

Category Code	Category of Shareholder	No. of Shareholder	Total number of Shares	No of Shares held in demat form	Total Shareholding as a % of total number of shares		Shares Pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	Number of shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
a)	Individuals/ Hindu Undivided Families	NIL	NIL	NIL	NIL	NIL	NIL	NIL
b)	Central Government/State Government	NIL	NIL	NIL	NIL	NIL	NIL	NIL
c)	Bodies Corporate	3	5604600	5586100	46.699	46.699	NIL	NIL
d)	Financial Institutions/ Banks	NIL	NIL	NIL	NIL	NIL	NIL	NIL
e)	Any Other (Specify)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	Sub Total (A)(1)	3	5604600	5586100	46.699	46.699	NIL	NIL
-2	Foreign							
a)	Individuals (Non Resident Individuals/Foreign Individuals)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
b)	Bodies Corporate	NIL	NIL	NIL	NIL	NIL	NIL	NIL
c)	Institutions	NIL	NIL	NIL	NIL	NIL	NIL	NIL
d)	Any Other (Specify)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	Sub Total (A)(2)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	3	5604600	5586100	46.699	46.699	NIL	NIL
B)	Public Shareholding						N.A.	N.A.
1)	Institution						N.A.	N.A.
a)	Mutual Funds/UTI	NIL	NIL	NIL	NIL	NIL		
b)	Financial Institutions/Banks	NIL	NIL	NIL	NIL	NIL		
c)	Central Government/State Government(s)	NIL	NIL	NIL	NIL	NIL		
d)	Venture Capital Funds	NIL	NIL	NIL	NIL	NIL		
e)	Insurance Companies	NIL	NIL	NIL	NIL	NIL		
f)	Foreign Institutional Investors	NIL	NIL	NIL	NIL	NIL		
g)	Foreign Venture Capital Investors	NIL	NIL	NIL	NIL	NIL		
e)	Any Other (specify)	NIL	NIL	NIL	NIL	NIL		
	Sub-Total (B) (1)	NIL	NIL	NIL	NIL	NIL		
2)	Non-Institutions						N.A.	N.A.
a)	Bodies Corporate	12	4393500	3197000	36.607	36.607		
b)	Individuals-	999	101250	NIL	0.844	0.844		
	i. Individual Shareholders holding nominal share Capital up to Rs 1lakh							
	ii Individual Shareholders holding nominal shares capital in excess of Rs1 lakh.	2	1902300	1902300	15.850	15.850		
c)	Any Other (Specify)	NIL	NIL	NIL	NIL	NIL		
	Sub Total(B)(2)	1013	6397050	5099300	53.301	53.301		
	Total Public Shareholding (B)= (B)(1)+(B)(2)	1013	6397050	5099300	53.301	53.301	N.A.	N.A.
	TOTAL(A)+(B)	1016	12001650	10685400	100	100	NIL	NIL
C)	Shares held by custodians and against which Depository Receipts have been issued	NIL	NIL	NIL	NIL	NIL	N.A.	N.A.
	GRAND TOTAL (A)+(B)+(C)	1016	12001650	10685400	100	100	NIL	NIL

N.A. - Not applicable

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group" as on 30/09/2013

Sr.No	Name of the Shareholder	Details of shares held		Encumbered shares			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No of shares held	As a % of grand total (A)+(B)+(C)	No	As a %	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	No of warrants held.	As a % total no of warrants of the same class	No of convertible securities held.	As a % total no of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(I)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	HOTAHOTI WOOD PRODUCTS LIMITED	1737000	14.473	NIL	NIL	NIL	NIL	NIL	NIL	NIL	14.473
2	NORTH EASTERN PUB & ADV CO LTD	1609100	13.407	NIL	NIL	NIL	NIL	NIL	NIL	NIL	13.407
3	PURBANCHAL PRESTRESSED LIMITED	2258500	18.818	NIL	NIL	NIL	NIL	NIL	NIL	NIL	18.818
	TOTAL	5604600	46.699	NIL	NIL	NIL	NIL	NIL	NIL	NIL	46.699

(I) (c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares as on 30/09/2013.

Sr.No.	Name of the Shareholder	No of Shares held	Shares as a % of total no of	Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants)
				No of warrants	As a % total no of	No of convertible securities held	% w.r.t total no of convertible securities of	
1	VISISTH CHAY VYAPAR LTD	414100	3.450	NIL	NIL	NIL	NIL	3.450
2	MAURYA TRADING COMPANY LIMITED	598000	4.983	NIL	NIL	NIL	NIL	4.983
3	GANGOUR PROJECTS PVT. LTD.	500000	4.166	NIL	NIL	NIL	NIL	4.166
4	JEENMATA DEVELOPERS PVT. LTD.	400000	3.333	NIL	NIL	NIL	NIL	3.333
5	QUEST INFRASTRUCTURE PVT. LTD.	550000	4.583	NIL	NIL	NIL	NIL	4.583
6	RANISATI PROJECTS PVT. LTD.	550000	4.583	NIL	NIL	NIL	NIL	4.583
7	VIRAT SUPPLIERS PVT. LTD.	150000	1.250	NIL	NIL	NIL	NIL	1.250
8	SANGRAHALAYA TIMBER & CRAFTS LIMITED	1047000	8.724	NIL	NIL	NIL	NIL	8.724
9	SUDHIR JAIN	1042300	8.685	NIL	NIL	NIL	NIL	8.685
10	UTSAV PAREKH.	860000	7.166	NIL	NIL	NIL	NIL	7.166
	Total	6111400	50.921	NIL	NIL	NIL	NIL	50.921

(I) (c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company as on 30/09/2013.

Sr.No	Name(s) of the Shareholder(s) and the Person Acting in Concert (PAC) with them	No of shares	Shares as a %age of total no of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above }	Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No of warrants	As a % total no of warrants of the same class	No of convertible securities held	% w.r.t total no of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)
1	SANGHRALAYA TIMBER & CRAFTS LTD	1047000	8.724	NIL	NIL	NIL	NIL	8.724
2	SUDHIR JAIN	1042300	8.685	NIL	NIL	NIL	NIL	8.685
3	UTSAV PAREKH	860000	7.166	NIL	NIL	NIL	NIL	7.166
	TOTAL	2949300	24.574	NIL	NIL	NIL	NIL	24.574

(I)(d) Statement showing details of locked in shares as on 30/09/2013.

Sr.No	Name of the Shareholder	Category of Shareholders (Promoter/ Public)	No of Locked in Shares	% to Total
1	NIL	NIL	NIL	NIL
	Total	NIL	NIL	NIL

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr.No	Type of Outstanding DR (ADRs,GDRs,SDRs,etc)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e.Grand total (A)+(B)+(C) indicated in statement at para (I) (a) above)
1	NIL	NIL	NIL	NIL
	Total	NIL	NIL	NIL

(II) (b) Statement showing Holding of Depository Receipts(DRs) where underlying shares are in excess of 1% of the total number of shares.

Sr. No.	Name of the DR Holder	Type of Outstanding DR (ADRs,GDRs,SDRs,etc)	Number of shares underlying outstanding	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand total (A)+(B) +(C) indicated in statement at para (I) (a) above)
1	NIL	NIL	NIL	NIL
	Total	NIL	NIL	NIL