

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : **KAMINI FINANCE & INVESTMENTS CO. LTD.**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : Quarter Ended 31/12/2016
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipt are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: x	Class eg: y	Total								
(A)	Promoter & Promoter Group	2	3346100	0	0	3346100	27.880	3346100	0	3346100	27.880	0	27.880	0	0.000	0	0.000	3346100
(B)	Public	1014	8655550	0	0	8655550	72.120	8655550	0	8655550	72.120	0	72.120	0	0.000	0	0.000	8528400
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>1016</b>	<b>12001650</b>	<b>0</b>		<b>12001650</b>	<b>100.000</b>	<b>12001650</b>	<b>0</b>	<b>12001650</b>	<b>100.000</b>	<b>0</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>11874500</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (I)	Nos. of shareholders (II)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C2)	Number of Locked In shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class x	Class y	Total								
(1)	Indian	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Central Government/State Government(s)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate	2	3346100	0	0	3346100	27.880	3346100	0	3346100	27.880	0	27.880	0	0.000	0	0.000	3346100
	HOTAHOTI WOOD PRODUCTS LTD	0	1737000	0	0	1737000	14.473	1737000	0	1737000	14.473	0	14.473	0	0.000	0	0.000	1737000
	NORTH EASTERN PUBLISHING & ADVERTISING C	0	1609100	0	0	1609100	13.407	1609100	0	1609100	13.407	0	13.407	0	0.000	0	0.000	1609100
	Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)[1]	2	3346100	0	0	3346100	27.880	3346100	0	3346100	27.880	0	27.880	0	0.000	0	0.000	3346100
(2)	Foreign	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)[2]	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)[1]+(A)[2]</b>	<b>2</b>	<b>3346100</b>	<b>0</b>	<b>0</b>	<b>3346100</b>	<b>27.880</b>	<b>3346100</b>	<b>0</b>	<b>3346100</b>	<b>27.880</b>	<b>0</b>	<b>27.880</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>3346100</b>

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of Total Shares held (b)
								Class x	Class Y	Total								
(1)	Institutions	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Mutual Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs. 2 Lakhs.	999	101250	0	0	101250	0.844	101250	0	101250	0.844	0	0.844	0	0.000	0	0.000	0
	ii) Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs.	2	1902300	0	0	1902300	15.850	1902300	0	1902300	15.850	0	15.850	0	0.000	0	0.000	1902300
	SUDHIR JAIN	0	1042300	0	0	1042300	8.685	1042300	0	1042300	8.685	0	8.685	0	0.000	0	0.000	1042300
	UTSAV PAREKH	0	860000	0	0	860000	7.166	860000	0	860000	7.166	0	7.166	0	0.000	0	0.000	860000
	NBFCs registered with RBI	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Employee Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Overseas Depositors (holding DRs)(balancing figure)	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Bodies Corporate	13	6652000	0	0	6652000	55.426	6652000	0	6652000	55.426	0	55.426	0	0.000	0	0.000	6626100
	DECCAN TRADERS PRIVATE LTD	0	598000	0	0	598000	4.983	598000	0	598000	4.983	0	4.983	0	0.000	0	0.000	598000
	GANGOUR PROJECTS PRIVATE LIMITED	0	500000	0	0	500000	4.166	500000	0	500000	4.166	0	4.166	0	0.000	0	0.000	500000
	GULMOHUR TRADING PRIVATE LIMITED.	0	1047000	0	0	1047000	8.724	1047000	0	1047000	8.724	0	8.724	0	0.000	0	0.000	1047000
	JEENMATA DEVELOPERS PVT. LTD.	0	400000	0	0	400000	3.333	400000	0	400000	3.333	0	3.333	0	0.000	0	0.000	400000
	MAURYA TRADING COMPANY LIMITED	0	2258500	0	0	2258500	18.818	2258500	0	2258500	18.818	0	18.818	0	0.000	0	0.000	2258500
	QUEST INFRASTRUCTURE PVT. LTD.	0	550000	0	0	550000	4.583	550000	0	550000	4.583	0	4.583	0	0.000	0	0.000	550000
	RANISATI PROJECTS PVT. LTD.	0	550000	0	0	550000	4.583	550000	0	550000	4.583	0	4.583	0	0.000	0	0.000	550000
	VIRAT SUPPLIERS PRIVATE LIMITED	0	150000	0	0	150000	1.250	150000	0	150000	1.250	0	1.250	0	0.000	0	0.000	150000
	VISITH CHAY VYAPAR LTD	0	414100	0	0	414100	3.450	414100	0	414100	3.450	0	3.450	0	0.000	0	0.000	414100
	QFI - Individual	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Non-Repatriable	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memembers	0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)	1014	8655550	0	0	8655550	72.120	8655550	0	8655550	72.120	0	72.120	0	0.000	0	0.000	8528400
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>	<b>1014</b>	<b>8655550</b>	<b>0</b>	<b>0</b>	<b>8655550</b>	<b>72.120</b>	<b>8655550</b>	<b>0</b>	<b>8655550</b>	<b>72.120</b>	<b>0</b>	<b>72.120</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>8528400</b>

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
							No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
							Class z	Class y	Total								
(1) Custodian DR Holder																	
(2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014)																	
<b>Total Non-Promoter - Non-Public Shareholding (C)=(C1)+(C)+2)</b>																	

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