

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : KAMINI FINANCE & INVESTMENTS CO. LTD.
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30/09/2017**
- 4 Declaration:

| | Particulars | Yes | No |
|---|---|------------|-----------|
| 1 | Whether the Listed Entity has issued any partly paid up shares | | NO |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| 3 | Whether the Listed Entity has any shares against which depository receipt are issued? | | NO |
| 4 | Whether the Listed Entity has any shares in locked-in? | | NO |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | NO |

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid-up equity shares held (IV) | No. of partly paid-up equity shares held (V) | No. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying convertible securities (Including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|--------------|--------------------------------|----------------------------|--|--|--|--|---|---|------------|-----------------|--|--|----------------------------------|----------|---|----------|---|---------------------------------|
| | | | | | | | | No. of Voting Rights | | | | | Total as a % of (A+B+C) | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | Class eg:x | Class eg:y | Total | | | | | | | | |
| (A) | Promoter & Promoter Group | 2 | 3346100 | 0 | 0 | 3346100 | 27.880 | 3346100 | 0 | 3346100 | 27.880 | 0 | 27.880 | 0 | 0.000 | 0 | 0.000 | 3346100 |
| (B) | Public | 1015 | 8655550 | 0 | 0 | 8655550 | 72.120 | 8655550 | 0 | 8655550 | 72.120 | 0 | 72.120 | 0 | 0.000 | 0 | 0.000 | 8554300 |
| (C) | Non Promoter - Non Public | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | |
| | TOTAL | 1017 | 12001650 | 0 | | 12001650 | 100.000 | 12001650 | 0 | 12001650 | 100.000 | 0 | 100.000 | 0 | 0.000 | 0 | 0.000 | 11900400 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid-up equity shares held (IV) | No. of partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-----|--|------------|----------------------------|--|--|---|--|---|---|----------|----------------|--|--|-------------------------------------|----------|---|----------|---|---------------------------------|
| | | | | | | | | | No. of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Indian | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0 | |
| (a) | Individuals/Hindu undivided Family | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0 | |
| (b) | Central Government/State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0 | |
| (c) | Financial Institutions/Banks | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0 | |
| (d) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0 | |
| | Bodies Corporate | | 2 | 3346100 | 0 | 0 | 3346100 | 27.880 | 3346100 | 0 | 3346100 | 27.880 | 0 | 27.880 | 0 | 0.000 | 0 | 0.000 | 3346100 |
| | HOTAHOTI WOOD PRODUCTS LTD | AAACH5090E | 0 | 1737000 | 0 | 0 | 1737000 | 14.473 | 1737000 | 0 | 1737000 | 14.473 | 0 | 14.473 | 0 | 0.000 | 0 | 0.000 | 1737000 |
| | NORTH EASTERN PUBLISHING & ADVERTISING C | AAACN6958M | 0 | 1609100 | 0 | 0 | 1609100 | 13.407 | 1609100 | 0 | 1609100 | 13.407 | 0 | 13.407 | 0 | 0.000 | 0 | 0.000 | 1609100 |
| | Trusts | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Clearing Members | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (A)(1) | | 2 | 3346100 | 0 | 0 | 3346100 | 27.880 | 3346100 | 0 | 3346100 | 27.880 | 0 | 27.880 | 0 | 0.000 | 0 | 0.000 | 3346100 |
| (2) | Foreign | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (b) | Government | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (c) | Institutions | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (d) | Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (e) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | OCBs | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (A)(2) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 2 | 3346100 | 0 | 0 | 3346100 | 27.880 | 3346100 | 0 | 3346100 | 27.880 | 0 | 27.880 | 0 | 0.000 | 0 | 0.000 | 3346100 |

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form (XIV) | |
|-----|--|------------|----------------------------|--|--|---|--|---|---|---------|---------|--|--|-------------------------------------|---------|--|---------|---|---------------------------------|
| | | | | | | | | | No. of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Institutions | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (a) | Mutual Funds | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (b) | Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (c) | Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (d) | Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (e) | Foreign Portfolio Investors | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (f) | Financial Institutions/Banks | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (g) | Insurance Companies | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (h) | Provident Funds/Pension Funds | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (i) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Foreign Institutional Investors | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | QFI - Corporate | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (B)(1) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (2) | Central Government/State Government(s)/President of India | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (B)(2) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (3) | Non-Institutions | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (a) | Individuals | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs. | | 999 | 101250 | 0 | 0 | 101250 | 0.844 | 101250 | 0 | 101250 | 0.844 | 0 | 0.844 | 0 | 0.000 | 0 | 0.000 | 0 |
| | ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs. | | 3 | 1902300 | 0 | 0 | 1902300 | 15.850 | 1902300 | 0 | 1902300 | 15.850 | 0 | 15.850 | 0 | 0.000 | 0 | 0.000 | 1902300 |
| | ANJU ALMAL | ADEPA4454B | 0 | 225000 | 0 | 0 | 225000 | 1.875 | 225000 | 0 | 225000 | 1.875 | 0 | 1.875 | 0 | 0.000 | 0 | 0.000 | 225000 |
| | SUDHIR JAIN | AEUPJ6167K | 0 | 1042300 | 0 | 0 | 1042300 | 8.685 | 1042300 | 0 | 1042300 | 8.685 | 0 | 8.685 | 0 | 0.000 | 0 | 0.000 | 1042300 |
| | UTSAV PAREKH | AGHPP4467H | 0 | 635000 | 0 | 0 | 635000 | 5.291 | 635000 | 0 | 635000 | 5.291 | 0 | 5.291 | 0 | 0.000 | 0 | 0.000 | 635000 |
| (b) | NBFCs registered with RBI | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (c) | Employee Trusts | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (d) | Overseas Depositors (holding DRs)(balancing figure) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |

| | | | | | | | | | | | | | | | | | | |
|-----|---|------------|-------------|----------------|----------|----------|----------------|---------------|----------------|----------|----------------|---------------|----------|---------------|----------|--------------|----------|--------------|
| (e) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Bodies Corporate | | 13 | 6652000 | 0 | 0 | 6652000 | 55.426 | 6652000 | 0 | 6652000 | 55.426 | 0 | 55.426 | 0 | 0.000 | 0 | 0.000 |
| | DECCAN TRADERS PRIVATE LTD | AABCD8938N | 0 | 598000 | 0 | 0 | 598000 | 4.983 | 598000 | 0 | 598000 | 4.983 | 0 | 4.983 | 0 | 0.000 | 0 | 0.000 |
| | GANGOUR PROJECTS PRIVATE LIMITED | AAECG1846K | 0 | 500000 | 0 | 0 | 500000 | 4.166 | 500000 | 0 | 500000 | 4.166 | 0 | 4.166 | 0 | 0.000 | 0 | 0.000 |
| | GULMOHUR TRADING PRIVATE LIMITED. | AABCG2154M | 0 | 1047000 | 0 | 0 | 1047000 | 8.724 | 1047000 | 0 | 1047000 | 8.724 | 0 | 8.724 | 0 | 0.000 | 0 | 0.000 |
| | JEENMATA DEVELOPERS PVT. LTD. | AACCJ5563G | 0 | 400000 | 0 | 0 | 400000 | 3.333 | 400000 | 0 | 400000 | 3.333 | 0 | 3.333 | 0 | 0.000 | 0 | 0.000 |
| | MAURYA TRADING COMPANY LIMITED | AADCM2677B | 0 | 2258500 | 0 | 0 | 2258500 | 18.818 | 2258500 | 0 | 2258500 | 18.818 | 0 | 18.818 | 0 | 0.000 | 0 | 0.000 |
| | QUEST INFRASTRUCTURE PVT. LTD. | AAACQ2332G | 0 | 550000 | 0 | 0 | 550000 | 4.583 | 550000 | 0 | 550000 | 4.583 | 0 | 4.583 | 0 | 0.000 | 0 | 0.000 |
| | RANISATI PROJECTS PVT. LTD. | AAFRC0002F | 0 | 550000 | 0 | 0 | 550000 | 4.583 | 550000 | 0 | 550000 | 4.583 | 0 | 4.583 | 0 | 0.000 | 0 | 0.000 |
| | VIRAT SUPPLIERS PRIVATE LIMITED | AADCV4084H | 0 | 150000 | 0 | 0 | 150000 | 1.250 | 150000 | 0 | 150000 | 1.250 | 0 | 1.250 | 0 | 0.000 | 0 | 0.000 |
| | VISISTH CHAY VYAPAR LTD | AAACV6571C | 0 | 414100 | 0 | 0 | 414100 | 3.450 | 414100 | 0 | 414100 | 3.450 | 0 | 3.450 | 0 | 0.000 | 0 | 0.000 |
| | QFI - Individual | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 |
| | NRI - Repatriable | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 |
| | NRI - Non-Repatriable | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 |
| | OCBs | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 |
| | Trusts | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 |
| | Clearing Memebers | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 |
| | Sub-Total (B)(3) | | 1015 | 8655550 | 0 | 0 | 8655550 | 72.120 | 8655550 | 0 | 8655550 | 72.120 | 0 | 72.120 | 0 | 0.000 | 0 | 0.000 |
| | Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 1015 | 8655550 | 0 | 0 | 8655550 | 72.120 | 8655550 | 0 | 8655550 | 72.120 | 0 | 72.120 | 0 | 0.000 | 0 | 0.000 |

