

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : **KAMINI FINANCE & INVESTMENTS CO. LTD.**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 30/09/2018**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows.-

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Table I - Summary Statement holding of specified securities

Category (1)	Category of shareholder (2)	No. of shareholders (3)	No. of fully paid shares held (4)	No. of partly paid-up shares held (5)	No. of shares underlying Depository Receipts (6)	Total no. shares held (7) = (3)+(4)+(5)+(6)	Outstanding as a % of total no. of shares (including as per SCRR, 1957) as a % of (A+B+C2) (8)	Number of Voting Rights held in each class of securities (9)			Total as a % of (A+B+C) (10)	No. of Shares underlying securities (including Warrants) (11)	Outstanding as a % of voting shareholding (including securities for conversion as a % of total share holding) as a % of (A+B+C) (12)	Number of Locked in shares (13)		Number of Shares pledged or otherwise encumbered (14)		Number of equity shares held by shareholders (15)
								Class eq. a (13a)	Class eq. Y (13b)	Total (13c)				No. (14a)	As a % of total shares held (14b)	No. (14c)	As a % of total shares held (14d)	
(A)	Promoter & Promoter Group	2	3346100	0	0	3346100	27.880	3346100	0	3346100	27.880	0	0	0	0.000	0	0.000	3346100
(B)	Public	1015	8655550	0	0	8655550	72.120	8655550	0	8655550	72.120	0	0	0	0.000	0	0.000	8554320
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	1017	12001650	0	0	12001650	100.000	12001650	0	12001650	100.000	0	0	0	0.000	0	0.000	11900000

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as per SRR, 1957 as a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights	No. of Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (viii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (xiv)
								Class x	Class y	Total				(a) No. of shares	(b) As a % of total shares held	(c) No. of shares	(d) As a % of total shares held	
(1) Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b) Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
Central Government/State Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2) Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3) Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a) Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		999	101250	0	0	101250	0.844	101250	0	101250	0.844	0	0.844	0	0.000	0	0.000	0
(ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		3	1902300	0	0	1902300	15.850	1902300	0	1902300	15.850	0	15.850	0	0.000	0	0.000	1902300
ANU ALMAL	ADEPA4454B	0	225000	0	0	225000	1.875	225000	0	225000	1.875	0	1.875	0	0.000	0	0.000	225000

SUDHIR JAIN	AEUPJ6167K	0	1042300	0	0	1042300	8.685	1042300	0	1042300	8.685	0	0.000	0	0.000	0	0.000	0	0.000	1042300
USOAV PAREKH	AGHP4467H	0	635000	0	0	635000	5.291	635000	0	635000	5.291	0	0.000	0	0.000	0	0.000	0	0.000	635000
NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
(d) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
(e) Bodies Corporate		13	6652000	0	0	6652000	55.426	6652000	0	6652000	55.426	0	0.000	0	0.000	0	0.000	0	0.000	6652000
DECCAN TRADERS PRIVATE LTD	AAABCD8938N	0	598000	0	0	598000	4.983	598000	0	598000	4.983	0	0.000	0	0.000	0	0.000	0	0.000	598000
GANGOUR PROJECTS PRIVATE LIMITED	AAECG1846K	0	500000	0	0	500000	4.166	500000	0	500000	4.166	0	0.000	0	0.000	0	0.000	0	0.000	500000
GULMOHUR TRADING PRIVATE LIMITED	AABCG2154M	0	1047000	0	0	1047000	8.724	1047000	0	1047000	8.724	0	0.000	0	0.000	0	0.000	0	0.000	1047000
JENNAMATA DEVELOPERS PVT. LTD.	AAACJ5563G	0	400000	0	0	400000	3.333	400000	0	400000	3.333	0	0.000	0	0.000	0	0.000	0	0.000	400000
MAURYA TRADING COMPANY LIMITED	AADCW2677B	0	2258500	0	0	2258500	18.818	2258500	0	2258500	18.818	0	0.000	0	0.000	0	0.000	0	0.000	2258500
QUEST INFRASTRUCTURE PVT. LTD.	AAACQ2332G	0	550000	0	0	550000	4.583	550000	0	550000	4.583	0	0.000	0	0.000	0	0.000	0	0.000	550000
RANISATI PROJECTS PVT. LTD.	AAFCR0002F	0	550000	0	0	550000	4.583	550000	0	550000	4.583	0	0.000	0	0.000	0	0.000	0	0.000	550000
VIRAT SUPPLIERS PRIVATE LIMITED	AADCV4084H	0	150000	0	0	150000	1.250	150000	0	150000	1.250	0	0.000	0	0.000	0	0.000	0	0.000	150000
VISITH CHAY VYAPAR LTD	AAACV6571C	0	414100	0	0	414100	3.450	414100	0	414100	3.450	0	0.000	0	0.000	0	0.000	0	0.000	414100
DFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
NRI - Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
NRI - Non-Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0.000	0
Sub-Total (B)(3)		1015	8655550	0	0	8655550	72.120	8655550	0	8655550	72.120	0	0.000	0	0.000	0	0.000	0	0.000	8554300
Total Public Shareholding (B)=(1)+(B)(2)+(B)(3)		1015	8655550	0	0	8655550	72.120	8655550	0	8655550	72.120	0	0.000	0	0.000	0	0.000	0	0.000	8554300

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11) Number of Voting Rights held in each class of securities			(12)	(13)	(14)	(15)	(16)	(17)		
										Class 'a'	Class 'b'	Total							% of Total Voting Rights	to of Share: Underlying Outstanding convertible securities (including Warrants, (X))
(11)	Custodian DR Holder																			
(12)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014																			
	Total Non-Promoter - Non-Public Shareholding (IC-III)+(IC-IV)																			

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