

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : **KAMINI FINANCE & INVESTMENTS CO. LTD.**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/03/2019**
- 4 Declaration:

Particulars	Yes	No
1 Whether the Listed Entity has issued any partly paid up shares		NO
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4 Whether the Listed Entity has any shares in locked-in?		NO
5 Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category (i)	Category of Shareholder (ii)	Total no. of shares held (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying Depository Receipt (vi)	Total no. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			Shareholding as a % assuming full conversion of convertible securities (as a diluted share capital) (x)-(vii)-(x) as a % of (A-B-C)	Number of Locked in shares (xi)		Number of Shares pledged or otherwise encumbered (xii)		Number of equity shares held in dematerialized form (xiv)
								Class eg: a	Class eg: y	Total		As a % of total shares held (a)	As a % of total shares held (b)	As a % of total shares held (c)	As a % of total shares held (d)	
(A)	Promoter & Promoter Group	3	3346100	0	0	3346100	27.880				0	0.000	0	0.000	3346100	
(B)	Public	1015	8655550	0	0	8655550	72.120				0	0.000	0	0.000	8554300	
(C)	Non Promoter - Non Public															
(C1)	Shares underlying DRs															
(C2)	Shares held by Employee Trusts															
(C3)	TOTAL	1017	13001650	0		13001650	100.000				0	0.000	0	0.000	11900400	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of Shareholders (i)	PAN (ii)	No. of Shareholders (iii)	No. of Fully paid-up equity shares held (iv)	No. of Fully paid-up equity shares held under Depository Receipts (v)	Total no. shares held (vi) = (iv)+(v)-(vii)	Stipendiary as a % of last financial year (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights (x)	No. of Locked-in securities (including Warrants) (xi)	Stipendiary as a % of average of the previous three years (as a percentage of diluted share capital) (xii) = (x)/(xi) as a %	Number of Locked-in Shares (xiii)		No. of Shares pledged or otherwise encumbered (xiv)	As a % of total Shares held (xv)	Number of equity shares held in dematerialized form (xvi)
							Class A	Class B	Total				No. (xiii)	As a % of total Shares held (xiii)			
(a) Indian		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a) Central Government/State Government(s)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(b) Financial Institution/Banks		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(c) Any Other		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(d) BOBBI SECURITIES PRIVATE LIMITED		2	3346100	0	3346100	27.880	0	0	3346100	27.880	0	27.880	0	0.000	0	3346100	
(e) NORTH EASTERN PRODUCTS LTD		0	1237000	0	1237000	14.473	0	0	1237000	14.473	0	14.473	0	0.000	0	1237000	
(f) NORTH EASTERN PUBLISHING & ADVERTISING C		0	1609100	0	1609100	13.407	0	0	1609100	13.407	0	13.407	0	0.000	0	1609100	
(g) Friends		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(h) Existing Members		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(i) Sub Total (A)(1)		2	3346100	0	3346100	27.880	0	0	3346100	27.880	0	27.880	0	0.000	0	3346100	
(j) Foreign individuals (Non-resident individuals/foreign individuals)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(k) Government Institutions		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(l) Foreign Portfolio Investor		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(m) Any Other		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(n) QIPs		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(o) Sub Total (A)(2)		0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
Total Shareholding of Promoter and Promoter Group (A)(1)+(A)(2)		2	3346100	0	3346100	27.880	0	0	3346100	27.880	0	27.880	0	0.000	0	3346100	



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of Shareholders (i)	PAN (ii)	No. of shareholder (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights	No. of Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of Shares held (xiv)	Number of equity held for (xv)
								Class A	Class Y	Total				(a)	(b)	(a)	(b)		
(1) Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(b) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(c) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(e) Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(f) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(g) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(h) Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(2) Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(3) Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(a) Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0	0	0	0	0	0	0
(i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		999	101250	0	0	101250	0.844	101250	0	101250	0.844	0	0.844	0	0.000	0	0.000	0	0
(ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		3	1902300	0	0	1902300	15.850	1902300	0	1902300	15.850	0	15.850	0	0.000	0	0.000	0	1902300
AAJAY KUMAR																			
KALYAN	AGPK0892G	0	225000	0	0	225000	1.875	225000	0	225000	1.875	0	1.875	0	0.000	0	0.000	0	225000
SUDHIR JAIN	AEUP96167K	0	1042300	0	0	1042300	8.685	1042300	0	1042300	8.685	0	8.685	0	0.000	0	0.000	0	1042300
UTSAV PAREKH	AGHP94467H	0	635000	0	0	635000	5.291	635000	0	635000	5.291	0	5.291	0	0.000	0	0.000	0	635000
NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0
(b) Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0	0



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)/(x2) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class x	Class y	Total			(a)	(b)	(c)	(d)	
(1) Custodian DR Holder																	
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
Total Non-Promoter - Non-Public Shareholding (C)=(C1)+(C12)																	

