Format of holding of specified securities

Annexure - I

1 Name of Listed Entity : KAMINI FINANCE & INVESTMENTS CO. LTD.

- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b): Quarter Ended 30/09/2019
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

IUNI	o o o o o o o o o o o o o o o o o o o				or specifical secondicio													
Categor	Category of shareholder	Nos. of	No. of fully paid-	No. of partly	No. of shares	Total nos. shares	Shareholding	Number of Vo	ting Rights hel	d in each class of	securities	No. of Shares	Shareholding as	Number of Loc	ked in shares	Number of Sha	res pledged or	Number of equity
У	(II)	shareholde		paid-up	underlying	held	as a % of		(E	X)		Underlying	a % assuming	(XI	(XII)		ncumbered	shares held in
(I)		rs	shares held	equity shares		(VII) =	total no. of					convertible	full conversion			(XI	il)	dematerialized
		(III)	(IV)	held	Receipt	(IV)+(V)+(VI)	shares					securities	of convertible					form
				(V)	(VI)		(calculated as per SCRR,	No	. of Voting Rig	hts	Total as a %	(Including Warrants)	securities (as a percentage of	No.	As a % of	No.	As a % of	(XIV)
							1957) as per SCRR,			of	(X)	diluted share	(a)	total Shares	(a)	total Shares		
							(VIII) As a %	Class eg:x	Class eg:y	Total	(A+B+C)	(^)	capital)		held		held	
							of (A+B+C2)	onace egin	clube eg.y	, otai			(XI)=(VII)+(X) as		(b)		(b)	
							. ,						a % of (A+B+C)					
	Promoter &																	
(A)	Promoter Group	2	3346100	0	0	3346100	27.880	3346100	0	3346100	27.880	0	27.880	0	0.000	0	0.000	3346100
(B)	Public	1015	8655550	0	0	8655550	72.120	8655550	0	8655550	72.120	0	72.120	0	0.000	0	0.000	8554300
. /	Non Promoter - Non																	
(C)	Public																	
(0)																		
	Shares underlying																	
(C1)	DRs																	
	Shares held by																	
(C2)	Employee Trusts																	
. ,	TOTAL	1017	12001650	0		12001650	100.000	12001650	0	12001650	100.000	0	100.000	0	0.000	0	0.000	11900400

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Ie II - Statement showing Category & Name of shareholders (II) (I)		Nos. of shareholders (III)	No. of fully paid-up		Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Votin		(IX)		No. of Shares Underlying Outstanding convertible securities (Including	Shareholding as a % assuming full conversion of convertible securities (as a percentage of	Number of Lock (XII)		Number of Sha otherwise er (XI	ncumbered il)	Number of equity shares held in dematerialized form (XIV)
								(VIII)		of Voting R	-	Total as a % of Total Voting	Warrants) (X)	diluted share capital) (XI)=	No. (a)	As a % of total Shares held	No. (a)	As a % of total Shares held	
									Class x	Class y	Total	rights		(VII)+(X) as a %		(b)		(b)	
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Individuals/Hindu																		1 1
(a)	undivided Family		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Central Government/State																		
(b)	Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Financial																		
(c)	Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		2	3346100	0	0	3346100	27.880	3346100	0	3346100	27.880	0	27.880	0	0.000	0	0.000	3346100
	HOTAHOTI WOOD																		1 1
	PRODUCTS LTD	AAACH5090E	0	1737000	0	0	1737000	14.473	1737000	0	1737000	14.473	0	14.473	0	0.000	0	0.000	1737000
	NORTH EASTERN																		1 1
	PUBLISHING &																		1 1
	ADVERTISING C	AAACN6958M	0	1609100	0	0	1609100	13.407	1609100	0	1609100	13.407	0	13.407	0	0.000	0	0.000	1609100
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		2	3346100	0	0	3346100	27.880	3346100	0	3346100	27.880	0	27.880	0	0.000	0	0.000	3346100
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Individuals (Non- Resident																		[]
	Individuals/Foreign																		
(a)	Individuals)		0	0	0	0	o	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
,	Foreign Portfolio		-	-	-		-		-	-			-		-				
(d)	Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
. /	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group																		
	(A)=(A)(1)+(A)(2)		2	3346100	0	0	3346100	27.880	3346100	0	3346100	27.880	0	27.880	0	0.000	0	0.000	3346100

Table III - Statement showing shareholding pattern of the Public shareholder

<u></u>	I able III - Statement showin Category & Name of PAN			No. of fully paid		Nos. of	Total nos.	Shareholdin	Number of V	/ating Digh	nts held in eacl	h alaan of	No. of	Shareholding as a %	% Number of Locked in		Number	of Shares	Number of
	shareholders	(II)	shareholders		paid-up	shares	shares held	g %	Number of V		its neid in eaci	I Class of	Shares	assuming full	shares			or otherwise	equity shares
	()		(111)	shares held	equity shares	underlying	(VII) =	calculated		(1	X)		Underlying	conversion of	(XII)		encu	mbered	held in
				(IV)	held	Depository	(IV)+(V)+(VI)	as per	No. d	of Voting R	ights	Total as a	Outstanding		No.	As a % of	No.	As a % of	dematerialized
					(V)	Receipt (VI)		SCRR, 1957 As a % of				% of Total	convertible securities	(as a percentage of diluted share capital)	(a)	total Shares	(a)	total Shares	form (XIV)
						(•.,		(A+B+C2)	Class x	Class	Total	rights	(Including	(XI)=		held		held	(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
								(VIII)	x	У			Warrants)	(VII)+(X) as a % of		(b)		(b)	
													(X)	(A+B+C)					
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Venture Capital																		
(b)	Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Alternate Investment																		
(c)	Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Venture																		
(d)	Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Portfolio																		
(e)	Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(-,	Financial		-		-	-	-		-	-			-		-		-		-
(f)	Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	о
			0	0	Ū	0	0	0.000	0		- U	0.000	0	0.000	0	0.000	- U	0.000	Ŭ
	Insurance				0	0	0	0.000	0			0.000		0.000	0	0.000		0.000	
	Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
1 1	Provident																		
	Funds/Pension																		
<u> </u>	Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional																		
	Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Central																		
	Government/State																		
	Government(s)/Presi																		
(2)	dent of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
<u> </u>	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
			-	-	-		-		-						-		-		-
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
()	i) Individual		Ť	1						Ť	Ť		Ť				Ť		
	shareholders holding																		
'	nominal share																		
'	capital up to Rs.2		000	101250	<u> </u>		101250	0.044	101250		101250	0.044	_	0.044	^	0.000		0.000	
	Lakhs.		999	101250	0	0	101250	0.844	101250	0	101250	0.844	0	0.844	0	0.000	0	0.000	0
'																			
'	ii) Individual																		
'	shareholders holding																		
	nominal share																		
'	capital in excess of																		
1 '	Rs.2 Lakhs.		3	1902300	0	0	1902300	15.850	1902300	0	1902300	15.850	0	15.850	0	0.000	0	0.000	1902300

		1					1				1				1	1		1	
	AJAY KUMAR KAYAN	ACCEROSO2C	0	225000	0	0	225000	1.875	225000	0	225000	1.875	0	1.875	0	0.000	0	0.000	225000
	SUDHIR JAIN	AGGPR0892G	0	1042300	0	0	1042300	8.685	1042300	0	1042300	8.685	0	8.685	0	0.000	0	0.000	1042300
<u> </u>	UTSAV PAREKH	AGHPP4467H	0	635000	0	0	635000	5.291	635000	0	635000	5.291	0	5.291	0	0.000	0	0.000	635000
	NBFCs registered	AGHFF4407H	0	033000	0	0	033000	5.291	033000	0	033000	5.2.51	0	5.251	0	0.000	0	0.000	033000
(b)	with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(0)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Overseas Depositors																		
	(holding																		
	DRs)(balancing																		
(d)	figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
<u> </u>	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Bodies Corporate		13	6652000	0	0	6652000	55.426	6652000	0	6652000	55.426	0	55.426	0	0.000	0	0.000	6652000
	DECCAN TRADERS		15	0032000	0	0	0052000	33.420	0032000	0	0032000	33.420	0	55.420	0	0.000	0	0.000	0032000
	PRIVATE LTD	AABCD8938N	0	598000	0	0	598000	4.983	598000	0	598000	4.983	0	4.983	0	0.000	0	0.000	598000
<u> </u>		AADED0550N	0	330000	0	0	338666	4.505	338000	0	550000	4.505	0	4.505	0	0.000	0	0.000	558666
	GANGOUR PROJECTS																		
	PRIVATE LIMITED	AAECG1846K	0	500000	0	0	500000	4.166	500000	0	500000	4.166	0	4.166	0	0.000	0	0.000	500000
<u> </u>	GULMOHUR	AALCOIDFOR	0	500000	0	0	500000	4.100	300000	0	300000	4.100	0	4.100		0.000	0	0.000	500000
	TRADING PRIVATE																		
	LIMITED.	AABCG2154M	0	1047000	0	0	1047000	8.724	1047000	0	1047000	8.724	0	8.724	0	0.000	0	0.000	1047000
<u> </u>	JEENMATA	AADCOZIJAN	0	1047000	0	0	1047000	0.724	1047000	0	1047000	0.724	0	0.724		0.000	0	0.000	1047000
	DEVELOPERS PVT.																		
	LTD.	AACCJ5563G	0	400000	0	0	400000	3.333	400000	0	400000	3.333	0	3.333	0	0.000	0	0.000	400000
<u> </u>	MAURYA TRADING	AACGJJUJU	0	400000	0	0	400000	5.555	400000	0	400000	5.555	0	5.555		0.000	0	0.000	400000
	COMPANY LIMITED	AADCM2677B	0	2258500	0	0	2258500	18.818	2258500	0	2258500	18.818	0	18.818	0	0.000	0	0.000	2258500
	QUEST	10.000120770		2230300	•	0	2230300	10.010	2230300	0	2230300	10.010	0	10.010		0.000	•	0.000	2230300
	INFRASTRUCTURE																		
	PVT. LTD.	AAACQ2332G	0	550000	0	0	550000	4.583	550000	0	550000	4.583	0	4.583	0	0.000	0	0.000	550000
		1000000		550000												0.000		0.000	550000
	RANISATI PROJECTS																		
	PVT. LTD.	AAFCR0002F	0	550000	0	0	550000	4.583	550000	0	550000	4.583	0	4.583	0	0.000	0	0.000	550000
	VIRAT SUPPLIERS		-										-				-		
	PRIVATE LIMITED	AADCV4084H	0	150000	0	0	150000	1.250	150000	0	150000	1.250	0	1.250	0	0.000	0	0.000	150000
	VISISTH CHAY				-								Ţ						
	VYAPAR LTD	AAACV6571C	0	414100	0	0	414100	3.450	414100	0	414100	3.450	0	3.450	0	0.000	o	0.000	414100
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Non-			-	-	-			-	-	-		-				-		-
	Repatriable		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
<u> </u>				-		-			-		-		-						
	Clearing Memebers		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		1015	8655550	0	0	8655550	72.120	8655550	0	8655550	72.120	0	72.120	0	0.000	0	0.000	8554300
			. ==			-							-		-				
	Total Public																		
	Shareholding																		
	(B)=(B)(1)+(B)(2)+(B)																		
	(3)		1015	8655550	0	0	8655550	72.120	8655550	0	8655550	72.120	0	72.120	0	0.000	0	0.000	8554300

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	shares	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Voting Right securi (IX of Voting Rig Class y	ties)	Total as a % of Total Voting rights	No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of shai (XI No. (a)	res	Number o pledged or (XI No. (a)	otherwise bered	Number of equity shares held in dematerialize d form (XIV)
(1)	Custodian.DR Holder																	
(2)	Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014																	
	Total Non-Promoter - Non-Public Shareholding (C)=(C)(1)+(C)+(2)																	

Table V - Statement showing shareholding details of significant beneficial owner

				,	ei eiginneant zei									
	Detai	ls of the significant owner (l)	beneficial	Dei	tails of the registered (II)	lowner		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:						
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality		PAN/Passport No. in case of a foreign national		Shares %	Voting rights %		Exercise of control	significant	Date of creation / acquisition of significant beneficial interest (IV)		

