

**Format of holding of specified securities**

**Annexure - I**

**1 Name of Listed Entity : KAMINI FINANCE & INVESTMENTS CO. LTD.**

2 Scrip Code/Name of Scrip/Class of Security :

3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 30/06/2020**

4 Declaration:

|   | <b>Particulars</b>  | <b>Yes</b> | <b>No</b> |
|---|---|------------|-----------|
| 1 | Whether the Listed Entity has issued any partly paid up shares                        |            | NO        |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants?          |            | NO        |
| 3 | Whether the Listed Entity has any shares against which depository receipt are issued? |            | NO        |
| 4 | Whether the Listed Entity has any shares in locked-in?                                |            | NO        |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered?              |            | NO        |

5 The tabular format for disclosure of holding of specified securities is as follows:-

**Table I - Summary Statement holding of specified securities**

| Category (I) | Category of shareholder (II)   | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of partly paid-up equity shares held (V) | No. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |                 |                         | No. of Shares Underlying convertible securities (Including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|--|--|---|---|------------|-----------------|-------------------------|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
|              |                                |                            |  |  |  |  |   | No. of Voting Rights  |            |                 | Total as a % of (A+B+C) |  |  | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
|              |                                |                            |  |  |  |  |   | Class eg:x  | Class eg:y | Total           |                         |  |  |                                  |                                 |   |                                 |   |
| (A)          | Promoter & Promoter Group      | 2                          | 3346100                                      | 0  | 0  | 3346100                                      | 27.880  | 3346100   | 0          | 3346100         | 27.880                  | 0  | 27.880   | 0                                | 0.000                           | 0   | 0.000                           | 3346100   |
| (B)          | Public                         | 1015                       | 8655550                                      | 0  | 0  | 8655550                                      | 72.120  | 8655550   | 0          | 8655550         | 72.120                  | 0  | 72.120   | 0                                | 0.000                           | 0   | 0.000                           | 8554300   |
| (C)          | Non Promoter - Non Public      |                            |  |  |  |  |   |   |            |                 |                         |  |  |                                  |                                 |   |                                 |   |
| (C1)         | Shares underlying DRs          |                            |  |  |  |  |   |   |            |                 |                         |  |  |                                  |                                 |   |                                 |   |
| (C2)         | Shares held by Employee Trusts |                            |  |  |  |  |   |   |            |                 |                         |  |  |                                  |                                 |   |                                 |   |
|              | <b>TOTAL</b>                   | <b>1017</b>                | <b>12001650</b>                              | <b>0</b>                                     |  | <b>12001650</b>                              | <b>100.000</b>  | <b>12001650</b>   | <b>0</b>   | <b>12001650</b> | <b>100.000</b>          | <b>0</b>   | <b>100.000</b>   | <b>0</b>                         | <b>0.000</b>                    | <b>0</b>  | <b>0.000</b>                    | <b>11900400</b>   |

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

|     | Category & Name of shareholders (I)  | PAN (II)   | Nos. of shareholders (III) | No. of fully paid-up equity shares held (IV) | No. of partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) |          |                | No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % | Number of Locked in shares (XII)    |          | Number of Shares pledged or otherwise encumbered (XIII) |          | Number of equity shares held in dematerialized form (XIV) |                                 |
|-----|--|------------|----------------------------|--|--|---|--|---|---|----------|----------------|--|---|-------------------------------------|----------|---|----------|---|---------------------------------|
|     |  |            |                            |  |  |   |  |   | No. of Voting Rights  |          |                |  |   | Total as a % of Total Voting rights | No. (a)  | As a % of total Shares held (b)                         | No. (a)  |   | As a % of total Shares held (b) |
|     |  |            |                            |  |  |   |  |   | Class x   | Class y  | Total          |  |   |                                     |          |   |          |   |                                 |
|     |  |            |                            |  |  |   |  |   |   |          |                |  |   |                                     |          |   |          |   |                                 |
| (1) | Indian   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0   |                                 |
| (a) | Individuals/Hindu undivided Family   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0   |                                 |
| (b) | Central Government/State Government(s)                                     |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0   |                                 |
| (c) | Financial Institutions/Banks   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0   |                                 |
| (d) | Any Other  |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0   |                                 |
|     | Bodies Corporate   |            | 2                          | 3346100                                      | 0  | 0   | 3346100                                      | 27.880  | 3346100   | 0        | 3346100        | 27.880   | 0   | 27.880                              | 0        | 0.000   | 0        | 0.000   | 3346100                         |
|     | HOTAHOTI WOOD PRODUCTS LTD   | AAACH5090E | 0                          | 1737000                                      | 0  | 0   | 1737000                                      | 14.473  | 1737000   | 0        | 1737000        | 14.473   | 0   | 14.473                              | 0        | 0.000   | 0        | 0.000   | 1737000                         |
|     | NORTH EASTERN PUBLISHING & ADVERTISING C                                   | AAACN6958M | 0                          | 1609100                                      | 0  | 0   | 1609100                                      | 13.407  | 1609100   | 0        | 1609100        | 13.407   | 0   | 13.407                              | 0        | 0.000   | 0        | 0.000   | 1609100                         |
|     | Trusts   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
|     | Clearing Members   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
|     | Sub-Total (A)(1)   |            | 2                          | 3346100                                      | 0  | 0   | 3346100                                      | 27.880  | 3346100   | 0        | 3346100        | 27.880   | 0   | 27.880                              | 0        | 0.000   | 0        | 0.000   | 3346100                         |
| (2) | Foreign  |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals)                 |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
| (b) | Government   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
| (c) | Institutions   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
| (d) | Foreign Portfolio Investor   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
| (e) | Any Other  |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
|     | OCBs   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
|     | Sub-Total (A)(2)   |            | 0                          | 0  | 0  | 0   | 0  | 0.000   | 0   | 0        | 0              | 0.000  | 0   | 0.000                               | 0        | 0.000   | 0        | 0.000   | 0                               |
|     | <b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b> |            | <b>2</b>                   | <b>3346100</b>                               | <b>0</b>                                     | <b>0</b>  | <b>3346100</b>                               | <b>27.880</b>   | <b>3346100</b>  | <b>0</b> | <b>3346100</b> | <b>27.880</b>  | <b>0</b>  | <b>27.880</b>                       | <b>0</b> | <b>0.000</b>  | <b>0</b> | <b>0.000</b>  | <b>3346100</b>                  |

**Table III - Statement showing shareholding pattern of the Public shareholder**

|     | Category & Name of shareholders<br>(i)   | PAN<br>(ii) | Nos. of<br>shareholders<br>(iii) | No. of fully paid<br>up equity<br>shares held<br>(iv) | No. of partly<br>paid-up equity<br>shares held<br>(v) | Nos. of<br>shares<br>underlying<br>Depository<br>Receipt<br>(vi) | Total nos. shares held<br>(vii) = (iv)+(v)+(vi) | Shareholdin<br>g %<br>calculated<br>as per<br>SCRR, 1957<br>As a % of<br>(A+B+C2)<br>(viii) | Number of Voting Rights held in each class of securities<br>(ix) |            |                | No. of<br>Shares<br>Underlying<br>Outstanding<br>convertible<br>securities<br>(Including<br>Warrants)<br>(x) | Shareholding as a %<br>assuming full<br>conversion of<br>convertible securities<br>(as a percentage of<br>diluted share capital)<br>(xi)=<br>(vii)+(x) as a % of<br>(A+B+C) | Number of Locked in<br>shares<br>(xii)       |            | Number of Shares<br>pledged or otherwise<br>encumbered |            | Number of equity<br>shares held in<br>dematerialized<br>form<br>(xiv) |   |
|-----|--|-------------|----------------------------------|---|---|--|---|---|--|------------|----------------|--|---|--|------------|--|------------|---|---|
|     |  |             |                                  |   |   |  |   |   | No. of Voting Rights   |            |                |  |   | Total as a<br>% of Total<br>Voting<br>rights | No.<br>(a) | As a % of<br>total<br>Shares<br>held<br>(b)            | No.<br>(a) |   | As a % of<br>total<br>Shares<br>held<br>(b) |
|     |  |             |                                  |   |   |  |   |   | Class<br>x   | Class<br>y | Total          |  |   |  |            |  |            |   |   |
| (1) | Institutions   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (a) | Mutual Funds   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (b) | Venture Capital Funds  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (c) | Alternate Investment Funds   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (d) | Foreign Venture Capital Investors  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (e) | Foreign Portfolio Investors  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (f) | Financial Institutions/Banks   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (g) | Insurance Companies  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (h) | Provident Funds/Pension Funds  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (i) | Any Other  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | Foreign Institutional Investors  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | QFI - Corporate  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | Sub-Total (B)(1)   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (2) | Central Government/State Government(s)/President of India                          |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | Sub-Total (B)(2)   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (3) | Non-Institutions   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (a) | Individuals  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.         |             | 999                              | 101250  | 0   | 0  | 101250  | 0.844   | 101250   | 0          | 101250         | 0.844  | 0   | 0.844  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs. |             | 3                                | 1902300   | 0   | 0  | 1902300   | 15.850  | 1902300  | 0          | 1902300        | 15.850   | 0   | 15.850                                       | 0          | 0.000  | 0          | 0.000   | 1902300                                     |
|     | AJAY KUMAR KAYAN   | AGGPK0892G  | 0                                | 225000  | 0   | 0  | 225000  | 1.875   | 225000   | 0          | 225000         | 1.875  | 0   | 1.875  | 0          | 0.000  | 0          | 0.000   | 225000                                      |
|     | SUDHIR JAIN  | AEUPJ6167K  | 0                                | 1042300   | 0   | 0  | 1042300   | 8.685   | 1042300  | 0          | 1042300        | 8.685  | 0   | 8.685  | 0          | 0.000  | 0          | 0.000   | 1042300                                     |
|     | UTSAV PAREKH   | AGHPP4467H  | 0                                | 635000  | 0   | 0  | 635000  | 5.291   | 635000   | 0          | 635000         | 5.291  | 0   | 5.291  | 0          | 0.000  | 0          | 0.000   | 635000                                      |
| (b) | NBFCs registered with RBI  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (c) | Employee Trusts  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (d) | Overseas Depositors (holding DRs)(balancing figure)                                |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
| (e) | Any Other  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | Bodies Corporate   |             | 13                               | 6652000   | 0   | 0  | 6652000   | 55.426  | 6652000  | 0          | 6652000        | 55.426   | 0   | 55.426                                       | 0          | 0.000  | 0          | 0.000   | 6652000                                     |
|     | DECCAN TRADERS PRIVATE LTD   | AABCD8938N  | 0                                | 598000  | 0   | 0  | 598000  | 4.983   | 598000   | 0          | 598000         | 4.983  | 0   | 4.983  | 0          | 0.000  | 0          | 0.000   | 598000                                      |
|     | GANGOUR PROJECTS PRIVATE LIMITED   | AAECG1846K  | 0                                | 500000  | 0   | 0  | 500000  | 4.166   | 500000   | 0          | 500000         | 4.166  | 0   | 4.166  | 0          | 0.000  | 0          | 0.000   | 500000                                      |
|     | GULMOHUR TRADING PRIVATE LIMITED.  | AABCG2154M  | 0                                | 1047000   | 0   | 0  | 1047000   | 8.724   | 1047000  | 0          | 1047000        | 8.724  | 0   | 8.724  | 0          | 0.000  | 0          | 0.000   | 1047000                                     |
|     | JEENMATA DEVELOPERS PVT. LTD.  | AACCS5563G  | 0                                | 400000  | 0   | 0  | 400000  | 3.333   | 400000   | 0          | 400000         | 3.333  | 0   | 3.333  | 0          | 0.000  | 0          | 0.000   | 400000                                      |
|     | MAURYA TRADING COMPANY LIMITED   | AADCM2677B  | 0                                | 2258500   | 0   | 0  | 2258500   | 18.818  | 2258500  | 0          | 2258500        | 18.818   | 0   | 18.818                                       | 0          | 0.000  | 0          | 0.000   | 2258500                                     |
|     | QUEST INFRASTRUCTURE PVT. LTD.   | AAACQ2332G  | 0                                | 550000  | 0   | 0  | 550000  | 4.583   | 550000   | 0          | 550000         | 4.583  | 0   | 4.583  | 0          | 0.000  | 0          | 0.000   | 550000                                      |
|     | RANISATI PROJECTS PVT. LTD.  | AAFRC0002F  | 0                                | 550000  | 0   | 0  | 550000  | 4.583   | 550000   | 0          | 550000         | 4.583  | 0   | 4.583  | 0          | 0.000  | 0          | 0.000   | 550000                                      |
|     | VIRAT SUPPLIERS PRIVATE LIMITED  | AADCV4084H  | 0                                | 150000  | 0   | 0  | 150000  | 1.250   | 150000   | 0          | 150000         | 1.250  | 0   | 1.250  | 0          | 0.000  | 0          | 0.000   | 150000                                      |
|     | VISISTH CHAY VYAPAR LTD  | AAACV6571C  | 0                                | 414100  | 0   | 0  | 414100  | 3.450   | 414100   | 0          | 414100         | 3.450  | 0   | 3.450  | 0          | 0.000  | 0          | 0.000   | 414100                                      |
|     | QFI - Individual   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | NRI - Repatriable  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | NRI - Non-Repatriable  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | OCBs   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | Trusts   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | Clearing Memebers  |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | IEPF Authority   |             | 0                                | 0   | 0   | 0  | 0   | 0.000   | 0  | 0          | 0              | 0.000  | 0   | 0.000  | 0          | 0.000  | 0          | 0.000   | 0   |
|     | Sub-Total (B)(3)   |             | 1015                             | 8655550   | 0   | 0  | 8655550   | 72.120  | 8655550  | 0          | 8655550        | 72.120   | 0   | 72.120                                       | 0          | 0.000  | 0          | 0.000   | 8554300                                     |
|     | <b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>                          |             | <b>1015</b>                      | <b>8655550</b>  | <b>0</b>  | <b>0</b>   | <b>8655550</b>                                  | <b>72.120</b>   | <b>8655550</b>   | <b>0</b>   | <b>8655550</b> | <b>72.120</b>  | <b>0</b>  | <b>72.120</b>                                | <b>0</b>   | <b>0.000</b>   | <b>0</b>   | <b>0.000</b>  | <b>8554300</b>                              |



